

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Lek Pharmaceuticals d.d.Application No./Patent No.: 10/599,289 Filed/Issue Date: _____Titled: GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)

+

Lek Pharmaceuticals d.d., a Corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest in;
2. an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022412, Frame 0230, or for which a copy therefore is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Monika Le-Good

Printed or Typed Name

74.09

Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Lek Pharmaceuticals d.d.

Application No./Patent No.: 10/599,289 Filed/Issue Date:

Titled: GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)

Lek Pharmaceuticals d.d., a Corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest in;
2. an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:
 - A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022412, Frame 0230, or for which a copy therefore is attached.

OR

- B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

07.04.09

Date

Gillian McCann

Patent Service Specialist

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

© MARK S. GRAHAM COMPANY: P.O. BOX 1871



UNITED STATES PATENT AND TRADEMARK OFFICE

**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE**



MARCH 18, 2009

PTAS

- 500810128A

MARK S. GRAHAM
P.O. BOX 1871
KNOXVILLE, TN 37901

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/18/2009

REEL/FRAME: 022412/0230
NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 33705-US-PCT - 64650.US

ASSIGNOR:
BOGATAJ, MARIJA

DOC DATE: 02/24/2005

ASSIGNOR:
MRHAR, ALES

DOC DATE: 02/24/2005

ASSIGNOR:
LAVRIC, ANTON

DOC. DATE: 02/24/2005

ASSIGNOR:
CERNE, MANICA

DOC DATE: 02/24/2005

ASSIGNOR:
TIBAUT, DORIS

DOC DATE: 02/21/2005

ASSIGNOR:
STALC, ANTON

DOC DATE: 02/24/2005

C:MARK S. GRAHAM COMPANY:P.O. BOX 1871

022412/0230 PAGE 2

ASSIGNOR: URLEB, UROS **DOC DATE:** 02/24/2005

ASSIGNOR: MATEOVIC, TATJANA **DOC DATE:** 02/24/2005

ASSIGNOR: COF, GRETA **DOC DATE:** 02/24/2005

ASSIGNOR: KERC, JANEZ DOC DATE: 02/24/2005

ASSIGNOR: DREW, ROK **DOC DATE:** 02/24/2005

ASSIGNOR: YONEDA, FUMIO **DOC. DATE:** 02/24/2005

ASSIGNOR: MURAOKA, SHIZUKO **DOC. DATE:** 02/24/2005

ASSIGNEE:
LEK PHARMACEUTICALS, D.D.
VEROVSKOVA 57
1526 LJUBLJANA, SLOVENIA

SERIAL NUMBER: 10599289 FILING DATE:
PATENT NUMBER: ISSUE DATE:
TITLE: GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)

**ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION**

D:MARK S. GRAHAM COMPANY:P.O. BOX 1871

PATENT ASSIGNMENTElectronic Version v1.1
Stylesheet Version v1.1**03/18/2009
500810126**

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Marija Bogataj	02/24/2005
Ales Mrhar	02/24/2005
Anton Levric	02/24/2005
Manica Cerne	02/24/2005
Doris Tibaut	02/24/2005
Anton Stalc	02/24/2005
Uros Urieb	02/24/2005
Tatjana Mateovic	02/24/2005
Greta Cof	02/24/2005
Janez Kerc	02/24/2005
Rok Dreu	02/24/2005
Fumio Yoneda	02/24/2005
Shizuko Muraoka	02/24/2005

RECEIVING PARTY DATA

Name:	Lek Pharmaceuticals, d.d.
Street Address:	Verovškova 57
City:	1528 Ljubljana
State/Country:	SLOVENIA

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10599289

CORRESPONDENCE DATA

Fax Number: (865)523-4478

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 865-546-4305

Email: mgraham@ing-patent.com

CH \$40.00 10599289

C:MARK S. GRAHAM COMPANY:P.O. BOX 1871

Correspondent Name:	Mark S. Graham
Address Line 1:	P.O. Box 1871
Address Line 4:	Knoxville, TENNESSEE 37901

ATTORNEY DOCKET NUMBER:	33705-US-PCT - 64650.US
-------------------------	-------------------------

NAME OF SUBMITTER:	Mark S. Graham
--------------------	----------------

Total Attachments: 8

source=64650us-touspto-20090318-ExecutedAssignment#page1.tif
source=64650us-touspto-20090318-ExecutedAssignment#page2.tif
source=64650us-touspto-20090318-ExecutedAssignment#page3.tif
source=64650us-touspto-20090318-ExecutedAssignment#page4.tif
source=64650us-touspto-20090318-ExecutedAssignment#page5.tif
source=64650us-touspto-20090318-ExecutedAssignment#page6.tif
source=64650us-touspto-20090318-ExecutedAssignment#page7.tif
source=64650us-touspto-20090318-ExecutedAssignment#page8.tif

C:MARK S. GRAHAM COMPANY:P.O. BOX 1871



UNITED STATES PATENT AND TRADEMARK OFFICE

Facsimile Transmission

To: Name: MARK S. GRAHAM
Company: P.O. BOX 1871
Fax Number: 18655234478
Voice Phone:

From: Name: ASSIGNMENT SERVICES BRANCH
Voice Phone: 571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fax Notes:

Pg#	Description
1	Cover Page
2	12 .TXT
4	Document 1, Batch 1601396

USPTO ASSIGNMENT SYSTEM PROCESSING

Date and time of transmission: Wednesday, March 18, 2009 12:49:48 PM
Number of pages including this cover sheet: 05

ASSIGNMENT

I / We, the undersigned

Marija BOGATAJ, Stranska pot 8, 1370 Logatec, Slovenia, citizen of Slovenia

Ales MRHAR, Milana Majcna 35, 1000 Ljubljana, Slovenia, citizen of Slovenia

Anton LAVRIC, Gasilska 24, 1291 Skofljica, Slovenia, citizen of Slovenia

Manica CERNE, Matena 1A, 1292 Ig, Slovenia, citizen of Slovenia

Doris TIBAUT, Povsetova 104, 1000 Ljubljana, Slovenia, citizen of Slovenia

Anton STALC, Rasiska 5, 1000 Ljubljana, Slovenia, citizen of Slovenia

Uros URLEB, Periceva 29, 1000 Ljubljana, Slovenia, citizen of Slovenia

Tatjana MATEOVIC, Malnarjeva 43, 1000 Ljubljana, Slovenia, citizen of Slovenia

Greta COF, Zbiljska cesta 103, 1215 Medvode, Slovenia, citizen of Slovenia

Janez KERC, Trebinjska 7, 1000 Ljubljana, Slovenia, citizen of Slovenia

Rok DREU, Pot ob Homsnici 23, 2380 Slovenj Gradec, Slovenia, citizen of Slovenia

Fumio YONEDA, Takamidai 16-15, Takatsuki City, Osaka 569-1020, Japan, citizen of Japan

Shizuko MURAOKA, Ueda 3-6-20-902, Matsubara City, Osaka 580-0016, Japan, citizen of Japan

inventor(s) of an invention

filed in **Slovenia**

on **March 26, 2004** under No. **P200400094**

hereby assign all right, title and interest in and to that invention, including the right to seek and obtain world-wide patent protection thereon, to **Lek Pharmaceuticals d.d., a body corporate organized according to the laws of Slovenia, of Verovskova 57, 1526 Ljubljana, Slovenia** (and to claim priority(ies) from the said application(s)).

Dated with effect from **February 24, 2005**

Marija BOGATAJ

Ales MRHAR

Anton LAVRIC

Manica CERNE

Doris TIBAUT

Anton STALC

Uros URLEB

Tatjana MATEOVIC

Greta COF

Janez KERC

Rok DREU

Fumio Yoneda

Fumio YONEDA

Shizuko Murakami

Shizuko MURAOKA

ASSIGNMENT

I / We, the undersigned

Marija BOGATAJ, Stranska pot 8, 1370 Logatec, Slovenia, citizen of Slovenia

Ales MRHAR, Milana Majcna 35, 1000 Ljubljana, Slovenia, citizen of Slovenia

Anton LAVRIC, Gasilska 24, 1291 Skofljica, Slovenia, citizen of Slovenia

Manica CERNE, Matena 1A, 1292 Ig, Slovenia, citizen of Slovenia

Doris TIBAUT, Povsetova 104, 1000 Ljubljana, Slovenia, citizen of Slovenia

Anton STALC, Rasiska 5, 1000 Ljubljana, Slovenia, citizen of Slovenia

Uros URLEB, Periceva 29, 1000 Ljubljana, Slovenia, citizen of Slovenia

Tatjana MATEOVIC, Malnarjeva 43, 1000 Ljubljana, Slovenia, citizen of Slovenia

Greta COF, Zbiljska cesta 103, 1215 Medvode, Slovenia, citizen of Slovenia

Janez KERC, Trebinjska 7, 1000 Ljubljana, Slovenia, citizen of Slovenia

Rok DREU, Pot ob Homsnici 23, 2380 Slovenj Gradec, Slovenia, citizen of Slovenia

Fumio YONEDA, Takamidai 16-15, Takatsuki City, Osaka 569-1020, Japan, citizen of Japan

Shizuko MURAOKA, Ueda 3-6-20-902, Matsubara City, Osaka 580-0016, Japan, citizen of Japan

inventor(s) of an invention

filed in Slovenia

on March 26, 2004 under No. P200400094

hereby assign all right, title and interest in and to that invention, including the right to seek and obtain world-wide patent protection thereon, to Lek Pharmaceuticals d.d., a body corporate organized according to the laws of Slovenia, of Verovskova 57, 1526 Ljubljana, Slovenia (and to claim priority(ies) from the said application(s)).

Dated with effect from February 24, 2005

Marija BOGATAJ

Ales MRHAR

Anton LAVRIC

Manica CERNE

Doris TIBAUT

Anton STALC

Uros URLEB

Tatjana MATEOVIC

Greta COF

Janez KERC

Rok DREU

Fumio YONEDA

Shizuko MURAOKA

ASSIGNMENT

I / We, the undersigned

Marija BOGATAJ, Stranska pot 8, 1370 Logatec, Slovenia, citizen of Slovenia

Ales MRHAR, Milana Majcna 35, 1000 Ljubljana, Slovenia, citizen of Slovenia

Anton LAVRIC, Gasilska 24, 1291 Skofljica, Slovenia, citizen of Slovenia

Manica CERNE, Matena 1A, 1292 Ig, Slovenia, citizen of Slovenia

Doris TIBAUT, Povsetova 104, 1000 Ljubljana, Slovenia, citizen of Slovenia

Anton STALC, Rasiska 5, 1000 Ljubljana, Slovenia, citizen of Slovenia

Uros URLEB, Periceva 29, 1000 Ljubljana, Slovenia, citizen of Slovenia

Tatjana MATEOVIC, Malnarjeva 43, 1000 Ljubljana, Slovenia, citizen of Slovenia

Greta COF, Zbiljska cesta 103, 1215 Medvode, Slovenia, citizen of Slovenia

Janez KERC, Trebinjska 7, 1000 Ljubljana, Slovenia, citizen of Slovenia

Rok DREU, Pot ob Homsnici 23, 2380 Slovenj Gradec, Slovenia, citizen of Slovenia

Fumio YONEDA, Takamidai 16-15, Takatsuki City, Osaka 569-1020, Japan, citizen of Japan

Shizuko MURAOKA, Ueda 3-6-20-902, Matsubara City, Osaka 580-0016, Japan, citizen of Japan

inventor(s) of an invention

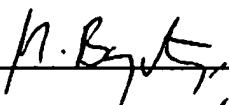
filed in Slovenia

on March 26, 2004 under No. P200400094

hereby assign all right, title and interest in and to that invention, including the right to seek and obtain world-wide patent protection thereon, to Lek Pharmaceuticals d.d., a body corporate organized according to the laws of Slovenia, of Verovskova 57, 1526 Ljubljana, Slovenia (and to claim priority(ies) from the said application(s)).

Dated with effect from February 24, 2005

Marija BOGATAJ



Ales MRHAR

Anton LAVRIC

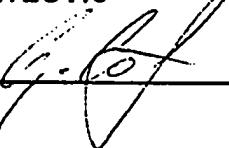
Manica CERNE

Doris TIBAUT

Anton STALC

Uros URLEB


Tatjana MATEOVIC


Greta COF

Janez KERC


Rok DREU

Fumio YONEDA

Shizuko MURAOKA

Attestation

I, the undersigned notary public of Basel, Switzerland, certify herewith the authenticity of the signature of **Mr. Anton Lavric**, Slovenian citizen, residing in Skofljica, Slovenia.

The authenticity of the signature was established by means of comparison.

Basel, Switzerland, this 24th (twenty-four) day of November 2006 (two thousand and six)



M. Staehelin, Notar

Leg.Prot.Nr. 1611 /2006

FOR TRUE COPY

BASEL, Switzerland, this 24th (twenty-fourth) day of November 2006 (two thousand and six)

M. Staehelin, Notar

Dr. M. Staehelin
Notar

Leg. Prot. Nr. 1613/2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. BOX 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

CUSTOMER NUMBER: 000083721

CORRESPONDENCE ADDRESS:
Lek (Slovenia) - LUEDEKA, NEELY & GRAHAM, P.C.
P.O. BOX 1871
Knoxville, TN 37901

FAX: PHONE: 865.5464305
E-MAIL: MGRAHAM@LNG-PATENT.COM

Date Mailed: 10/20/2008

NOTICE OF CUSTOMER NUMBER ASSIGNMENT

The request to assign a "Customer Number" to the above-identified Correspondence Address and Practitioner Registration Number(s) indicated below has been accepted by the Commissioner of Patents and Trademarks.

The Customer Number as assigned above may be used to identify the correspondence address or "fee address" for, and/or the appointed practitioner(s) in, a United States patent application or patent.

PRACTITIONER REGISTRATION NUMBER(S) ASSIGNED TO THAT CUSTOMER NUMBER:

22667, 24233, 28730, 28979, 32355, 34165, 34369, 34940
39598, 44990, 47507, 47601, 48633, 51975, 52646, 58753
58947, 61472.

Patents Electronic Business Center
866-217-9197 (toll-free) or 571-272-4100

PART I - ATTORNEY/APPLICANT COPY